

#13



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,755	02/08/2002	Stanton James Dent	DC4968

CONFIRMATION NO. 9286

FORMALITIES LETTER



OC000000008017299

00137
DOW CORNING CORPORATION CO1232
2200 W. SALZBURG ROAD
P.O. BOX 994
MIDLAND, MI 48686-0994

Date Mailed: 05/03/2002

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 5 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

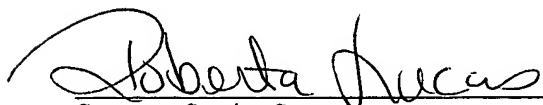
II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

10068755-051602

A copy of this notice MUST be returned with the reply.



Customer Service Center

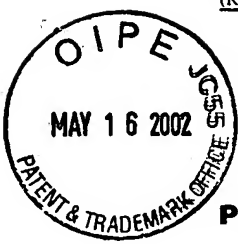
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20050515 051502

05-20-02

03099



Practitioner's Docket No. DC4968 **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dent, et. al.
Application No.: 0-10/068,755 Group No. 2811
Filed: Feb. 8, 2002 Examiner: Not Assigned
For: Semiconductor Package and Method of Preparing Same

Assistant Commissioner for Patents
Washington, D.C. 20231

**PETITION FOR DATE OF THIS SUBMISSION OF ITEMS INDICATED AS
OMITTED ON "NOTICE OF OMITTED ITEMS" AS APPLICATION FILING
DATE NONPROVISIONAL APPLICATION**

WARNING: "In instances in which a 'Notice of Omitted Items' has been mailed, the application will be retained in OIPE for a period of two months from the mailing date of 'Notice of Omitted Items' to permit the applicant to either:

- "(1) establish prior receipt in the PTO of the page(s) or drawings) at issue, or
 - "(2) promptly submit the omitted page(s) or drawing(s) in a nonprovisional application and accept the date of such submission as the application filing date.
- "Extensions of time under 37 C.F.R. section 1.136 will not be applicable to this two-month time period.
- "The grant of a petition under 37 C.F.R. section 1.182 to accept the omitted page(s) or drawing(s) in a nonprovisional application and accord the date of such submission as the application filing date will be indicated by the issuance of a new filing receipt indicating the filing date accorded the application." Notice of Jun. 5, 1996, 61 Fed. Reg. 30,041, at 30,043; M.P.E.P. § 601.01(d), 7th Edition.

WARNING: "As an applicant may, but is not required, to reply to such a "Notice or Omitted Items," extensions of time under 37 CFR 1.136 will not be applicable to this 2-month period." MPEP, § 601.01(d), 7th Edition. (emphasis in original).

CERTIFICATION UNDER 37 C.F.R. § 1.10*
(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 5-16-02 in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Number EV034916025US, addressed to the: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

05/23/2002 SDENBOB1 00000045 041520 10068755
01 FC:122 130.00 CH

Christine M. Fitak
(type or print name of person mailing paper)
Christine M. Fitak
Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Petition for Date of this Submission of Items Indicated as Omitted on "NOTICE OF OMITTED ITEMS" as Application Filing Date—Nonprovisional Application—[5-14]—page 1 of 3)



NOTE: "An applicant desiring to submit the omitted page(s) in a nonprovisional application and accept the date of such submission as the application filing date must file within two months from the date of Notice of Omitted Items any omitted page(s) with an oath or declaration in compliance with 37 C.F.R. section 1.63 and referring to such page(s) and a petition under 37 C.F.R. section 1.182 (with the petition fee under 37 C.F.R. section 1.17(h)) requesting the later filing date. See M.P.E.P. § 601.01(d), 7th ed.

NOTE: "... in view of the relatively low filing fee for provisional applications, and the PTO's desire to minimize the processing of provisional applications, the PTO will not grant petitions under 37 C.F.R. section 1.182 to accept omitted page(s) or drawing(s) and accord an application filing date as of the date of such submission. Instead, the applicant should simply refile the complete provisional application." Notice of Jun. 5, 1996, 61 Fed. Reg. 30,041, 30,044. See M.P.E.P. § 601.01(d), 7th ed.

NOTE: "... applicants should consider filing a new application as an alternative to submitting a petition under 37 C.F.R. section 1.182 (with the petition fee under 37 C.F.R. section 1.17(h)) with any omitted page(s) or drawing(s), which is a cost-effective alternative in instances in which a[n] nonprovisional application is deposited without filing fees." Notice of Jun. 5, 1996, 61 Fed. Reg. 30,041, 30,044. See M.P.E.P. § 601.01(d), 7th ed.

1. Response

Applicant responds to the "NOTICE OF OMITTED ITEMS" mailed 5/3/2002. This response is being made within two (2) months of the mailing of the "NOTICE OF OMITTED ITEMS."

☒ A copy of the "NOTICE OF OMITTED ITEMS" is enclosed.

2. Petition

This is a nonprovisional application and applicant hereby petitions under 37 C.F.R. section 1.182 to accept the date of this submission as the application filing date.

3. Submission

Submitted herewith ~~is~~ are:

(complete applicable items)

- ☒ the omitted item(s) listed on the "NOTICE OF OMITTED ITEMS"
- ☐ a declaration in compliance with 37 C.F.R. sections 1.63 and 1.64 referring to such omitted item(s)
- ☐ other:

4. Petition Fee (37 C.F.R. section 1.53(e)(2))

☒ nonprovisional (37 C.F.R. section 1.17(i))—\$130.00 \$130.00

(Petition for Date of this Submission of Items Indicated as Omitted on "NOTICE OF OMITTED ITEMS" as Application Filing Date—Nonprovisional Application—[5-14]—page 2 of 3)

EXPRESS MAIL NO. EV034916025US

EXPRESS MAIL NO. EV034916025US



5. Payment of Fee

- ☐ Attached is a ☐ check ☐ money order in the amount of \$130.00
- ☒ Authorization is hereby made to charge the amount of \$130.00
- ☒ to Deposit Account No. 04-1520
- ☐ to Credit card as shown on the attached credit card information authorization form PTO-2038

WARNING: Credit card information should *not* be included on this form as it may become public.

- ☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

10066755-051602

Reg. No.: 44,565

Tel. No.: (989) 496-1725

Customer No.:



00137

PATENT TRADEMARK OFFICE

Catherine U. Brown
SIGNATURE OF PRACTITIONER

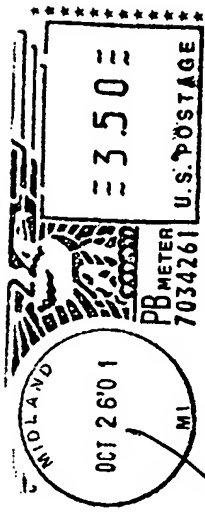
Catherine U. Brown
(type or print name of practitioner)

Dow Corning Corporation
P.O. Address
P.O. Box 994
Midland Michigan 48686-0994

NING

Midland, MI 48686-0994

209930-55499007



10/26/01

PRIORITY MAIL



DIRECTOR OF THE UNITED STATES
PATENT AND TRADEMARK OFFICE
WASHINGTON DC 20231

BOX: PATENT APPLICATION